# Do I have an affiliation under the Policy on Sensitive Technology Research and Affiliations of Concern (STRAC)?

Research funding programs sponsored by the Government of Canada (GoC) are required to address research security considerations. Per the Policy on Sensitive Technology Research and Affiliations of Concern (STRAC), researchers holding an existing affiliation with a Named Research Organization are ineligible for research funding if they are aiming to conduct research that advances a sensitive technology.

Please complete this questionnaire to determine if you have an affiliation with a Named Research Organization or are required to complete the Tri-Agency or Canada Foundation for Innovation (CFI) Attestation Form.

**Note:** This tool is intended for your use only. **Do not submit this** as part of your grant application package.

## Part 1: Sensitive Technology Research Area

**Q.1:** Does your research aim to advance any of the following Sensitive Technology Research Areas?

## Yes □ No □

- Advanced Digital Infrastructure Technology
- Advanced Energy Technology
- · Advanced Materials and Manufacturing
- Advanced Sensing and Surveillance
- Advanced Weapons
- Aerospace, Space and Satellite Technology
- Artificial Intelligence and Big Data Technology
- Human-Machine Integration
- Life Science Technology: Biotechnology or Medical and Healthcare Technology
- Quantum Science and Technology
- · Robotics and Autonomous Systems

See the full list for detailed category descriptions.

## For Q.1, if you answered:

- No: The STRAC policy does not apply to your grant application. Please stop here. You are not required to complete an attestation form.
- Yes: Please continue to Part 2.

# Part 2: Affiliations with Named Research Organizations

**Q.2:** Open this list of Named Research Organizations. Do you have an existing affiliation with any of these organizations? Yes  $\square$  No  $\square$ 

An affiliation with a Named Research Organization includes any of the following:

- Being employed by the organization
- Holding a titled position, including honorary titled positions with the organization (E.g. Postdoctoral researchers; Adjunct, Associate, Assistant, Full, Distinguished professors or their equivalents in other countries, Professors Emeriti, Lecturer, Visiting Scholars, Academicians, etc.)
- Being paid or receiving funds (e.g. travel expenses) to guest lecture
- Being in an arrangement with the organization to conduct research in-person or remotely
- Being a current student or postdoctoral fellow
- Receiving funding or grants from, being compensated by, or being paid to conduct research
- Receiving and/or using goods, equipment, materials, and/or supplies
- Receiving any professional services that have been performed by, paid for, subsidized by or sponsored by the institution (e.g. laboratory assistants, personal assistants, legal representation, personal finance or accounting support, IT support, driver services, etc.)
- Being given access to their facilities (e.g. office space, laboratory access, research instruments, etc.)

### For Q.2, if you answered:

- No: Please continue to Part 3.
- Yes: You are affiliated with a Named Research
  Organization for the purposes of the STRAC
  Policy. You must terminate all affiliation, funding
  and/or in-kind support prior to applying for
  federal research funding to be eligible. Please
  contact the University of Toronto's (U of T's)
  Research Security Team if you require further
  guidance.

## Part 3: Other Possible Affiliations with Named Research Organizations

<ul><li>Q.3: Am I currently receiving licenses for software or access to databases from an institution on the Named Research Organizations List?</li><li>Yes □ No □</li></ul>
Q.4: Am I currently receiving financial or in-kind support from the organizers of an event (e.g. a conference or symposium) where one or more of the organizers or sponsors is an institution on the Named Research Organizations List? Yes □ No □
Q.5: Am I a member of an organization or association (e.g. a research consortium or society) that offers financial or in-kind support to its members, and where some members of the governing body may be operating on behalf of an institution on the Named Research Organizations List? Yes □ No □
Q.6: Am I currently receiving any other in-kind support from an institution on the Named Research Organizations List that is not enumerated in Q.3-Q.5?  Yes □ No □
Q.7: Do I have any other type of current and ongoing relationships to an institution on the Named Research Organizations List that were not listed in the above questions? Yes □ No □

For Q.3 to Q.7, if you answered:

- No, to all questions: You are NOT considered to be affiliated with a Named Research Organization for the purposes of the STRAC policy. Please complete the required <u>Tri-Agency</u> or <u>CFI Attestation</u> form that corresponds to your funding application.
- Yes, to any questions: You may hold an affiliation with a Named Research Organization.
   Please contact U of T's Research Security
   Team for assistance in clarifying this status.

#### **Please Note:**

Under STRAC, **co-publishing** an article with an individual affiliated with, or in receipt of funding or inkind support from a Named Research Organization is not considered to mean you have an affiliation with that institution.

Similarly, having current or past working relationships with individuals who may be affiliated with a Named Research Organization also is not considered to mean you have an affiliation with that institution.

For more information, please refer to the STRAC <u>Frequently Asked Questions</u> web page.

For assistance, contact the U of T Research Security Team

Email: <u>researchsecurity@utoronto.ca</u>
Website: Safeguarding Research



