

## Face-to-face Human Research Checklist

Please check the appropriate response for each human research study involving direct contact, face-to-face methods and submit as part of your human ethics protocol.

1. Based on the table below, indicate the level of COVID-19 research risk:

- Level 1
- Level 2
- Level 3

COVID-19 Research risk	Research method	Examples	Direct Contact
Level 1	Interaction/observation	Interviews, focus groups, surveys, computer-based experiments and data collection, non-invasive neuro-imaging (on campus)	None or minimal contact, physical distancing can be easily achieved
Level 2	Intervention	Introducing dietary or exercise regime, drug, or natural health product testing	Some physical contact (e.g. biospecimen collection, body measurements, participant sweating, breathing heavily et al), physical distancing sometimes challenging to maintain)
Level 3	Physical treatment/manipulation	Physical therapy, dental procedure, biopsy	Sustained physical contact, physical distancing not possible

2. Where will the research be conducted?

- On campus
- In community/ public spaces
- Outside of GTA

3. Is the research site within Indigenous communities or within highly vulnerable populations (e.g. long-term health care facilities, homeless population)?

- Yes
- No

Comments:

4. How will participants get to the research site?

- Personal transportation (e.g. car, other personal vehicle, bike, foot)
- Public transportation (e.g. TTC subway, bus, train, taxi)
- Research will be in their home

5. Will the session(s) take place in a private or common space/room?

- Private
- Common
- Don't know

6. Is there adequate ventilation in the space?

- Yes
- No
- N/A

7. Will target participant population have physical or physiological factors that make them more vulnerable to becoming seriously ill if exposed to COVID-19 (e.g. elderly, immuno-compromised)?

- Yes
- No
- Don't know

8. Will target participant population have existing psychological/emotional attributes that may be exacerbated by the pandemic, and, therefore, make them more vulnerable?

- Yes
- No
- Don't know

9. Might current COVID-19 circumstances put individuals at a greater social risk (e.g. unemployment, dependants, lack of space)?

- Yes
- No
- Don't know

10. Will you confirm that no household members or close contacts of the participants are at high risk for contracting COVID-19 (e.g. elderly, immune-compromised)?

- Yes
- No
- N/A

11. I confirm that:

- a) In determining risk, I have considered the infection rate in the community or region where the research will take place.  Yes
- b) If the research is to be conducted in a different region or country, I have confirmed my ability to enter the region or country and have prepared for any mandatory quarantine period (away and home) and associated requirements.  Yes
- c) I am aware of and am prepared to meet all legal and public health requirements of the location in which the research is to take place.  Yes
- d) All participants will be advised about necessary precautions to ensure their own and others' safety during travel to the session(s).  Yes
- e) All necessary COVID-19 protocols will be taken, including: cleaning, screening and PPE requirements, as per [Environmental Health and Safety](#) guidelines.  Yes
- f) There is a plan in place to shut down research in the event of public health directive, e.g. tightening of restrictions related to pandemic status in the control of COVID-19  Yes
- g) I have provided the necessary COVID-19 information in the consent document(s) as per the guidance document.  Yes