

**Summary of Research Misconduct Complaints Processed by the University of Toronto under the *University of Toronto Framework to Address Allegations of Research Misconduct* (the “Framework”)**

**Calendar Years 2013 – 2017**

	<b>2013</b>	<b>2014</b>	<b>2015</b>	<b>2016</b>	<b>2017</b>	<b>Totals</b>
<b>Complaints Received <sup>1</sup></b>	7	5	7	6	6	31
<b>Preliminary Inquiries Conducted <sup>4</sup></b>	7	5	7	6	6	31
<b>Investigations Conducted <sup>2,4</sup></b>	3	0	0	3	2	8
<b>Research Misconduct Confirmed <sup>3,4</sup></b>	0	1	0	2	1	4
<b>Nature of the Research Misconduct Confirmed</b>		Misleading publication		Failure to follow direction of ACC (1 instance), breach of privacy/failure to follow direction of REB/failure to reveal COI (1 instance)	Failure to follow direction of REB	

**Notes**

Information current as of April, 2024.

1. Complaints over which the University had jurisdiction. If a complaint is made against a person who has an appointment at, or conducts research in, a [fully affiliated](#) or [community affiliated](#) teaching hospital, institutional jurisdiction over the complaint is determined in accordance with the Faculty of Medicine Research Misconduct Framework Addendum: [Procedures for Determining Jurisdiction in Complaints Involving Certain Non-University Institutions](#).
2. The [Framework](#) identifies a two-stage process: an initial gathering and review of information at an preliminary inquiry stage and, if recommended by the inquiry, a subsequent investigation.

3. Includes confirmation of research misconduct at any stage in the process.
4. Cases are listed by the year in which the complaint was received. Inquiries, investigations and final confirmation of misconduct may have occurred in a calendar year subsequent to the year of the complaint, but they have been attributed to the year when the complaint was received.